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Sheet 1 of 4

TRANSMITTAL FORM FOR FILING PATENT APPLICATION

Attorney Docket No.: SYCMR-036XX

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP
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Boston, Massachusetts 02109
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11000 U.S. PRO
09/832178
04/10/01

04/10/01
11003 U.S. PRO

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Express Mail No: EL634681255US
Date: April 10, 2001

First Named Inventor or Application
Identifier: Derek Spock

Sir:

Transmitted herewith under 37 CFR § 1.53 for filing is the patent application of:

Inventor: Derek Spock and Vijayanand Vusirikala

Entitled: MODULAR, RE-CONFIGURABLE OPTICAL ADD/DROP DEVICE FOR NON-BLOCKING,
NON-SERVICE-INTERRUPTING SERVICE

[] This is a request for filing a [] **continuation** [] **divisional**
[] **continuation in-part** application under \$1.53(b) of prior Application
No. _____, filed _____, entitled:

Enclosed are:

- [X] 25 pages of written description, claims and Abstract, inclusive
- [X] 3 sheets of [] informal [X] formal drawings of Figs. 1a-1c (one set)
- [X] Oath or Declaration
 - [] Newly executed (original or copy)
 - [] Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- [X] To be filed later
- [] Cover sheet and Assignment of the invention to:
- [] Certified copy of a _____ application (if foreign priority is claimed) with letter claiming priority under Rule 55.
- [] Information Disclosure Statement with _____ citations
- [] Preliminary amendment is enclosed.
- [X] Return receipt postcard
- [] Small entity status is entitled to be, and hereby is, asserted for this application.

Attorney Docket No.: SYCMR-036XX

TRANSMITTAL FORM FOR FILING PATENT APPLICATION (CONTINUED)

- [] Other: _____
- [] Priority is claimed under 35 USC § 120 as indicated on the attached sheet 4.
- [] Priority is claimed under 35 USC §119(a)-(d) as indicated on the attached sheet 4.
- [] Priority is claimed under 35 USC §119 (e) as indicated on the attached sheet 4.

[X] Richard E. Gamache is hereby appointed Associate Attorney by:
Registration No.:

James F. Thompson
Attorney of Record James F. Thompson
Registration No.: 36,699

- [] Power of Attorney in the originally-filed application has been granted to one or more of the registered attorneys listed below. The attorneys listed below not previously granted power in the originally-filed application, as well as _____, are hereby given associate power:
Registration No.:
- Stanley M. Schurgin, Reg. No. 20,979
Charles L. Gagnebin III, Reg. No. 25,467
Paul J. Hayes, Reg. No. 28,307
Victor B. Lebovici, Reg. No. 30,864
- Eugene A. Feher, Reg. No. 33,171
Beverly E. Hjorth, Reg. No. 32,033
Holliday C. Heine, Reg. No. 34,346
Gordon R. Moriarty, Reg. No. 38,973
James F. Thompson, Reg. No. 36,699
- [] Cancel in this application original claims _____ of the prior application before calculating the filing fee.
- [] Add in this application claims _____ per amendment before calculating fee.

CLAIMS FILED:	MINUS BASE:	EXTRA CLAIMS:	RATE:	BASIC FEE:
				\$710.00
Independent	4 - 3	= 1	x \$80.00 =	\$80.00
Total	16 - 20	= 0	x \$18.00 =	0.00
[] Multiple Dependent Claims (1st presentation)			+ \$270.00 =	0.00
SUBTOTAL FILING FEE				\$790.00
Small Entity filing, divide by 2. (Small Entity status must be asserted.)				0.00
TOTAL FILING FEE				\$790.00

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TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

- [] The filing fee has been calculated above; a check in the amount of _____ is enclosed.
- [X] The filing fee will be submitted at a later date.
- [X] In the event a Petition for Extension of Time under 37 CFR \$1.17 is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.
- [] The Commissioner is hereby authorized to charge payment of any additional filing fees under 37 CFR \$1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

[X] Customer Number 207

Address all future communications to:

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Attorney of Record: Richard E. Gamache
Registration No. 39,196

Attorney Docket No.: SYCMR-036XX

TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

☐ Priority is claimed under 35 USC § 120 of prior Application(s)
No. _____, filed _____, entitled:

☐ The above-identified application(s) is/are assigned of record to:

☐ Priority is claimed under 35 USC § 119 (a)-(d) of the following application(s).

_____ (Application Number)	_____ (Country)	_____ (Filing Date)
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_____ (Application Number)	_____ (Country)	_____ (Filing Date)
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_____ (Application Number)	_____ (Country)	_____ (Filing Date)
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☐ The above-identified application(s) is/are assigned of record to:

☐ Priority is claimed under 35 USC § 119 (e) of the following provisional application(s).

_____ (Application Number)	_____ (Filing Date)
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_____ (Application Number)	_____ (Filing Date)
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_____ (Application Number)	_____ (Filing Date)
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☐ The above-identified provisional application(s) is/are assigned of record to:

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